JC04 Rec'd PCT/PTO 30 SEP 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 16056.10 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/IB2004/00932 INTERNATIONAL FILING DATE March 29, 2004 TITLE OF INVENTION PROCESS FOR SURFACE ACTIVATION AND/OR DEVULCANIZATION OF SULFUR-VULCANIZED RUBBER PARTICLES APPLICANT(S) FOR DO/EO/US Willi Neumann Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. \boxtimes is attached hereto (required only if not communicated by the International Bureau). A has been communicated by the International Bureau. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC09 Rec'd PCT/PTO 30 SEP 2003

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U.S. APPLICAT	ION	NO_Liftworn, see 32 CFR 1.5)		,	INTERNATIONAL APPLICATION NO. PCT/IB2004/00932		ATTORNEY'S DOCKET NUMBER 16056.10				
20. 🛣 Other	20. 🗷 Other items or information:										
This A. 1: 1: 1: 1: 1: 1: 1: 1: 1: 1: 1: 1: 1:											
This Application claims priority to PCT/IB200400932 Filed March 29, 2004											
The fello					-			<u>.</u>			
The following fees are submitted:							S \$	CULATIONS	PTO USE ONLY		
21. 🗵 Basic national fee (37 CFR 1.492(a))								\$300.00			
22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)											
All other situations. \$200								\$200.00	·· -		
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority											
All other situations								\$400.00			
TOTAL OF 21, 22 and 23 =							\$	\$900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.											
Total Sheets	Ext	ra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)			RATE					
26 - 100 =	0	/50 =	0		х	\$250.00	\$	\$0.00			
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).								\$130.00			
CLAIMS		NUMBE	R FILED	NUMBER EXTRA		RATE					
Total claims	\$	1	- 20 =	0	х	\$50.00	\$	\$0.00	_,		
Independen	t	1	- 3=	0	х	\$200.00	\$	\$0.00			
Multiple Dependent Claims (if applicable)											
TOTAL OF ABOVE CALCULATIONS =								\$1,030.00			
☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							\$ \$	\$0.00			
SUBTOTAL =								\$1,030.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							\$				
TOTAL NATIONAL FEE =							\$	\$1,030.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +						\$					
TOTAL FEES ENCLOSED =							\$	\$1,030.00			
							ount to be unded:	\$			
								ount to be arged:	\$		

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A check in the amount of to cover the above fees is enclosed. b. Please charge my Deposit Account in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 23-3178 . A duplicate copy of this sheet is enclosed. d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: WORKMAN NYDEGGER Customer No. 022913 Michael J. Frodsham Dated: September 30, 2005 NAME 48,699 REGISTRATION NUMBER

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CERTIFICATE OI Applicant(s): Willi Ne	Docket No. 16056.10								
Application No. Unassigned	Filing Date 09/30/2003	Examiner Unassigned *	Customer No. 022913	Group Art Unit Unassigned					
	FOR SURFACE ACTIVAPARTICLES	ATION AND/OR DEVULCANIZATIO	ON OF SULFUR-	VULCANIZED					
I hereby certify that to See below.	he following corresponde	ence:							
	•	dentify type of correspondence)							
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on									
	September 30, 2005 (Date)								
	Samantha Holys (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV680256304US ("Express Mail" Mailing Label Number)								

Note: Each paper must have its own certificate of mailing.

Attached:

Postcard

Transmittal Letter to the United States Designated/Elected Office (3 pgs) English Language Translation of the International Application (17 pgs) Preliminary Amendment "A" (9 pgs) PTO-2038 Credit Card Form in the amount of \$1,030.00 (1 pg) Certificate of Express Mail Label No. EV680256304 (1 pg)

P06A/REV03